

Status Report: Department of Finance

**Summary of Strategic Initiatives, Actions,
Activities, Deliverables and Timelines**

August 2011

1. Strengthen the GNWT's Long-term Fiscal Position

Action	Activity	Deliverable	Timeline	Status
1. Revenue Options	Revenue Roundtable	Options Paper Report on the Roundtable	Options Paper in October each year December each year	Completed. In September 2008 and October 2009 and 2010, the Minister of Finance hosted public consultations with stakeholder group representatives to discuss the GNWT's fiscal challenges and options. The results of these consultations were compiled into an annual report, tabled with the Legislative Assembly and are available on the Department of Finance website at http://www.fin.gov.nt.ca/ .
2. Resource Sharing	Advice on Net Fiscal Benefit	Agreement in Principle (AIP) with provision for fair Net Fiscal Benefit.	Dependent on the pace of negotiations; Target date is during the 2010-11 fiscal year	Completed. The federal government, the GNWT and the Inuvialuit Regional Corporation signed an AIP for devolution of lands and resources from Canada to the Government of the Northwest Territories on January 26, 2011. The NWT Métis Nation signed the AIP on February 9, 2011. The AIP is available on the GNWT website at http://www.executive.gov.nt.ca/documents/Devolution%20AIP.pdf .
3. Heritage Fund	Develop Options for NWT Heritage Fund	Heritage Fund Options Paper Legislation	Options Paper by March 2010 Legislation prior to devolution implementation	The Options Paper was completed in September 2010. Legislation to create a Heritage Fund which will set aside income to fund long-term investments in infrastructure or financial assets is scheduled for review by the Legislative Assembly in August 2011.

Action	Activity	Deliverable	Timeline	Status
4. Borrowing Plan	Develop options for a Borrowing Plan	Options Paper Borrowing Plan	Options Paper by March 2010 Borrowing Plan by June 2010	Borrowing Plan options have been developed that identify the options available to fund the GNWT's forecasted cash deficit position. The Financial Management Board will be considering these options in the fall of 2011.
5. Macroeconomic Policy Framework	Develop Macroeconomic Evaluation Criteria and Policy Options	Indicator Report	Indicator Report by June 2010	The GNWT Macroeconomic Policy Framework was established in 2007 and is available on the Finance website at http://www.fin.gov.nt.ca/policy-directives/index.htm . The first Report of the Indicators of Progress and Foundations: Technical Report of the Indicators of Progress will be completed by December 2011.

2. Strengthen Management Capacity

Action	Activity	Deliverable	Timeline	Status
1. Modern Management Plan	Prioritize activities to advance modern management practices.	Action Plan Implementation Plan	Action Plan by June 2010 Implementation Plan by December 2011	The development of an Action Plan has been delayed due to resource limitations resulting from the focus on the implementation of Financial Shared Services and the rewrite of the Financial Administration Act - both of which are pieces of the overall Modern Management initiative.
2. <i>Financial Administration Act (FAA)</i>	Update and rewrite the FAA, the regulations and the financial policies, directives and operational guidelines.	Legislative Proposal New FAA Legislation, Regulations, policies, directives and guidelines	Legislative Proposal - December 2009 Legislation - October 2010 Regulations etc - October 2010	The legislative proposal has been completed and provided to the Standing Committee for their review in the Spring of 2011. The legislative drafting instructions and the identification of new FAA regulations, policies and directives are to be completed by November 2011. The legislation will be introduced during the 17 th Assembly.
3. System for Accountability and Management (SAM)	Add Strategic Sourcing and Supplier Contract Management Capacity to SAM	New modules for Strategic Sourcing and Supplier Contract Management	March 2010	Contractors will be in place and the implementation activities will begin in October 2011.
4. Financial Shared Services Centre (FSS)	Identify Service Model options; select appropriate model Develop Staff/Training Plan Develop Service Level Agreements with departments	Establish Financial Shared Services Centre Staffing and Training Plan Service Level Agreements	April 2011 March 2011 March 2011	Activities continue to finalize a governance structure, Service Partnership Agreements, the service delivery model and implementation tasks including staffing and training plans. FSS implementation will begin in 2011 with the Beaufort Delta region. The remaining regional offices will be phased in over the 2012-13 fiscal year with Headquarters being phased in during the 2013-14 fiscal year.

Action	Activity	Deliverable	Timeline	Status
5. Knowledge Management Strategy (KMS)	<p>Update Knowledge Management Strategy</p> <p>Develop Action Plan for new Knowledge Management Strategy</p> <p>Project Management Office to assist in the delivery of complex information technology (IT) projects.</p>	<p>Knowledge Management Strategy</p> <p>KMS Action Plan</p> <p>IT Project Management Office</p>	<p>March 2010</p> <p>March 2010</p> <p>March 2012</p>	<p>An updated Knowledge Management Strategy and the associated Action Plan will be available for consideration of the Financial Management Board in October 2011.</p>
6. Strategic Security Plan	<p>Develop Strategic Security Plan</p> <p>Develop Action Plan</p> <p>Assess and review GNWT's Information Technology environment</p> <p>Develop Disaster Recovery and Business Continuity Framework for Information Systems and Information Technology related operations</p>	<p>Strategic Security Plan</p> <p>Strategic Security Action Plan</p> <p>Operational review and assessment of security issues</p> <p>Disaster Recovery and Business Continuity Framework</p>	<p>March 2010</p> <p>March 2010</p> <p>March 2010</p> <p>March 2012</p>	<p>Completed in January 2009.</p> <p>Completed in January 2009.</p> <p>A review of legislation and policies was undertaken in 2009 to identify information security requirements. This information was consolidated and is the basis for the development of an Electronic Information Security Policy.</p> <p>A review of the protection of information on mobile devices and removable media has been undertaken and a project to undertake laptop encryption was completed in late 2010.</p> <p>This initiative will be completed on schedule.</p>

3. Improving Government Operations

Action	Activity	Deliverable	Timeline	Status
1. Multi-year Appropriations for Infrastructure Expenditures	Develop a legislative proposal to revise FAA for multi-year appropriations	Proposal submitted FAA amendments	June 2009 June 2011	This initiative has been incorporated into the larger project to rewrite the Financial Administration Act.
2. Public-Private Partnerships (P3)	Develop P3 Policy and Management Framework	P3 Policy and Management Framework Implementation Plan	March 2010 March 2010	Completed A GNWTF P3 Policy was approved in May 2011 and is available on the GNWTF website. http://www.gov.nt.ca/publications/policies/index.htm The P3 Management Framework was approved in May 2011. The Management Framework is available on the GNWTF website. http://www.fin.gov.nt.ca/policy-directives/index.htm The P3 Process Convention has been reviewed by Standing Committee. Standing Committee recommendations are being reviewed by FMB.
3. Petroleum Products Tax Act (PPTA)	Develop legislative proposal to revise PPTA	Legislative Proposal New PPTA	March 2011 March 2012	The legislative proposal and legislation will be available for submission to the 17 th Legislative Assembly.
4. Insurance Risk Management	Accurate replacement cost for GNWTF assets Identify alternative risk financing options	Request For Proposal and consultant's report Request For Proposal and Actuarial report	March 2010 March 2012	Project delayed one year. Consultations with other jurisdictions is expected to conclude this fall, with a final recommendation on risk financing options to be available by the end of the 2010-11 fiscal year.

Action	Activity	Deliverable	Timeline	Status
5. Document Management	Electronic Records and Document Management System	Assessment reports, RFP and purchase contract Implement new ERDMS	March 2010 March 2012	Completed in October 2010 Implementation is expected to complete in the Department of Public Works and Services by October 2011. Further implementation activities will be dependent on resources being made available.

4. Improving Public Performance Reporting

Action	Activity	Deliverable	Timeline	Status
1. Budget Implementation Reporting	Develop public accountability web site	New web site	October 2010	Completed. The <i>Northerners Working Together</i> website is located on the GNWT website at http://workingtogether.gov.nt.ca/Default.aspx .
2. Public Accounts	Identify environmental liabilities through a planned program of environmental assessments of affected GNWT properties	Disclosure of identified environmental liabilities in the GNWT Public Accounts	March 2010 and annually as additional liabilities are identified and properties remediated	Completed for the 2010-11 fiscal year.

5. Strengthen Service Delivery

Action	Activity	Deliverable	Timeline	Status
1. Knowledge Management Strategy	Develop new Knowledge Management Strategy and Action Plan	New Knowledge Management Strategy Implement Knowledge Management Strategy Action Plan	March 2010	An updated Knowledge Management Strategy and the associated Action Plan will be available for consideration of the Financial Management Board in October 2011.
2. Non-government Organizations (NGOs)	Develop a program funding guide for GNWT Program Managers Develop a Resource Guide for GNWT funding policies for NGOs	Program Managers' Funding Guide Funding Resource Guide for NGOs	March 2010 June 2010	Completed. The Program Manager's Funding Guide was completed in January 2011 and is available on the GNWT website at http://www.fin.gov.nt.ca/policy-directives/index.htm The NGO Guide provides concise direction that can be used by NGOs to access program funding. Notification of successful applicants for 2010-11 funding under the NGO Stabilization Fund was provided in November 2010.
3. Liquor Commission	Review and assess vendor service delivery model	Preferred liquor vendor delivery model	In place in time to replace existing liquor store service contracts	To allow for more time to complete the review process and decide on the optimum delivery approach the present store contractors have had their existing contracts extended by one year.
4. Liquor Licensing and Enforcement	Staff training for license holders with high risk premises Training permit holders for large events	Training courses for licensed premises Training courses for permit holders	March 2010 Ongoing	230 industry participants took part in training during the 2010-11 fiscal year. 100% of the permit holders who held large events in 2010-11 took the server training course.

